

The Tight Exponent Analysis for Quantum Privacy Amplification

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[arxiv : 2111.01075]

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Outline

- ◆ Preliminaries
- ◆ Introduction of the reliability function
- ◆ Reliability function in smoothing the max-relative entropy
- ◆ Reliability function for privacy amplification
- ◆ Summary and open questions

Preliminaries

a physics system A \longleftrightarrow a finite dimension Hilbert space \mathcal{H}_A


composite system AB \longleftrightarrow $\mathcal{H}_A \otimes \mathcal{H}_B$

states of the system A \longleftrightarrow positive semi-definite operator on \mathcal{H}_A with trace 1

the classical-quantum state of system XA \longleftrightarrow $\rho_{XA} = \sum_{x \in \mathcal{X}} p(x) |x\rangle\langle x| \otimes \rho_A^x$

quantum measurement \longleftrightarrow $\{\Lambda_i\}_{i \in \mathcal{I}}, \quad \Lambda_i \geq 0, \quad \sum_{i=1}^{|\mathcal{I}|} \Lambda_i = \mathbb{1}$

ρ $\xrightarrow{\{\Lambda_i\}_{i \in \mathcal{I}}}$ The probability of obtaining i is $\text{Tr } \rho \Lambda_i$

quantum channel $\mathcal{N}_{A \rightarrow B}$  completely positive and trace-preserving linear map from $\mathcal{L}(\mathcal{H}_A) \rightarrow \mathcal{L}(\mathcal{H}_B)$

common quantum channel

measure-prepare channel

$$\Phi(\rho) = \sum_{i=1}^{|\mathcal{I}|} (\text{Tr } \rho \Lambda_i) |i\rangle\langle i|, \text{ where } \{\Lambda_i\}_{i \in \mathcal{I}} \text{ is a measurement.}$$

pinching channel

$$\Psi(\rho) = \sum_{k=1}^n \Pi_k \rho \Pi_k, \text{ where } \Pi_k \text{ is projection and } \sum_{k=1}^n \Pi_k = \mathbb{1}.$$

Measure on the set of states :

For two states ρ, σ :

- ◆ trace norm distance: $\frac{\|\rho - \sigma\|_1}{2}$, where $\|A\|_1 = \text{Tr} \sqrt{A^*A}$
- ◆ purified distance: $P(\rho, \sigma) = \sqrt{1 - \|\sqrt{\rho}\sqrt{\sigma}\|_1^2}$ (fidelity: $F(\rho, \sigma) = \|\sqrt{\rho}\sqrt{\sigma}\|_1$)
- ◆ Umegaki relative entropy: $D(\rho, \sigma) = \text{Tr}(\rho \log \rho - \rho \log \sigma)$
- ◆ Rényi relative entropy
 - Petz: $\tilde{D}_\alpha(\rho\|\sigma) = \frac{\log \text{Tr} \rho^\alpha \sigma^{1-\alpha}}{\alpha - 1}$
 - Sandwiched: $D_\alpha(\rho\|\sigma) = \frac{\log \text{Tr}(\sigma^{\frac{1-\alpha}{2\alpha}} \rho \sigma^{\frac{1-\alpha}{2\alpha}})^\alpha}{\alpha - 1}$

Properties:

● **Monotonicity:** $D_\alpha(\rho\|\sigma) \leq D_{\alpha'}(\rho\|\sigma)$, when $\alpha' \geq \alpha$.

● **Limits:** $\lim_{\alpha \rightarrow 1} D_\alpha(\rho\|\sigma) = D(\rho\|\sigma)$

$$\lim_{\alpha \rightarrow \infty} D_\alpha(\rho\|\sigma) = D_{\max}(\rho\|\sigma) = \inf\{\lambda : \rho \leq 2^\lambda \sigma\}$$

Muller-Lennert et al, JMP, 2013;
Wilde, Winter, Yang, CMP, 2014.

● **Data processing inequality:** $D_\alpha(\Phi(\rho)\|\Phi(\sigma)) \leq D_\alpha(\rho\|\sigma)$ for any $\alpha \geq \frac{1}{2}$ and quantum channel Φ

Operational meanings for Sandwiched Rényi relative entropy:

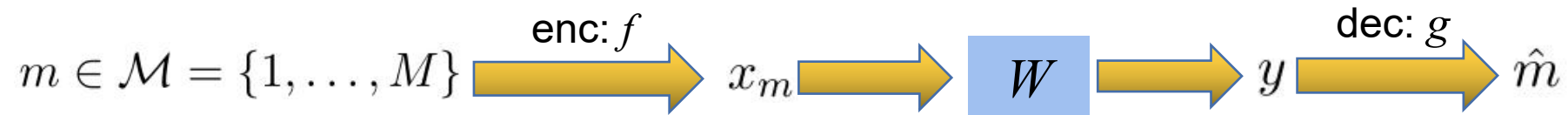
Prior work: strong converse exponents

- Mosonyi, Ogawa, CMP, 2015. (Quantum hypothesis testing)
- Mosonyi, Ogawa, CMP, 2017. (The capacity of the classical-quantum channel)
- Cheng, Hanson, Datta, Hsieh, IEEE Trans. Inf. Theory, 2020. (Data compression)

Classical communication protocol:

classical channel $W : \mathcal{X} \rightarrow \mathcal{Y}$: a stochastic matrix

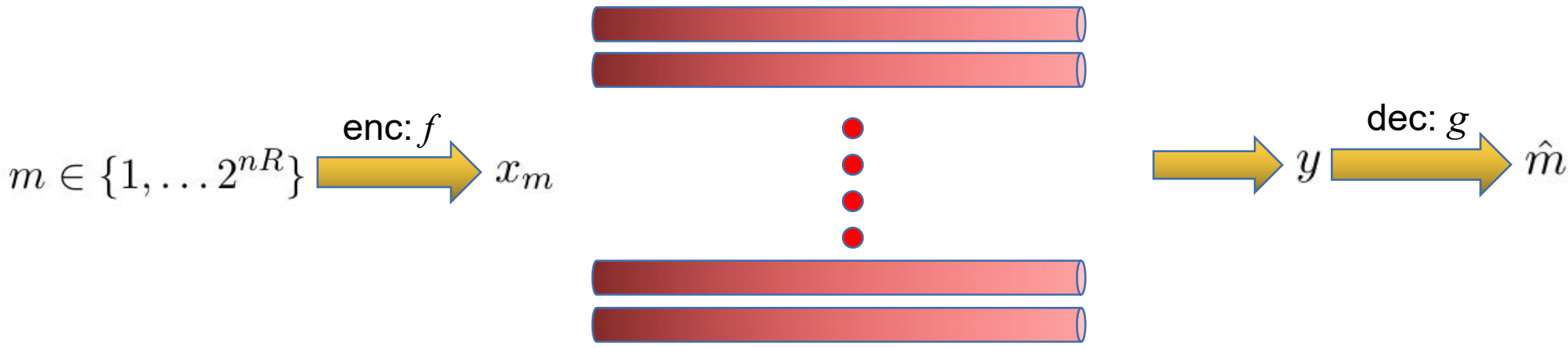
$$\sum_{y \in \mathcal{Y}} W(y|x) = 1, \quad W(y|x) \geq 0, \quad \forall x \in \mathcal{X}, y \in \mathcal{Y}$$



The error for sending m : $\sum_{y \notin g^{-1}(m)} W(y|x_m)$

The average error: $P_e = \sum_{m \in \mathcal{M}} \frac{\sum_{y \notin g^{-1}(m)} W(y|x_m)}{M}$

Shannons second theorem:



$$R < C(W) = \max_{P_X} I(X : Y)_P, \quad P(x, y) = P(x)W(x|y)$$

$$R > C(W)$$



Reliability function for a classical channel

Definition 1 Let $W : \mathcal{X} \rightarrow \mathcal{Y}$ be a classical channel. For a transmission rate $0 < R < C(W)$, the reliability function $E(R)$ of W is defined as

$$E(R) := - \lim_{n \rightarrow \infty} \frac{1}{n} \log \min_{\mathcal{C}_n} \bar{P}_e(\mathcal{C}_n),$$

where \mathcal{C}_n runs over all protocol with $|\mathcal{C}_n| = 2^{nR}$.

Introduction of the reliability function

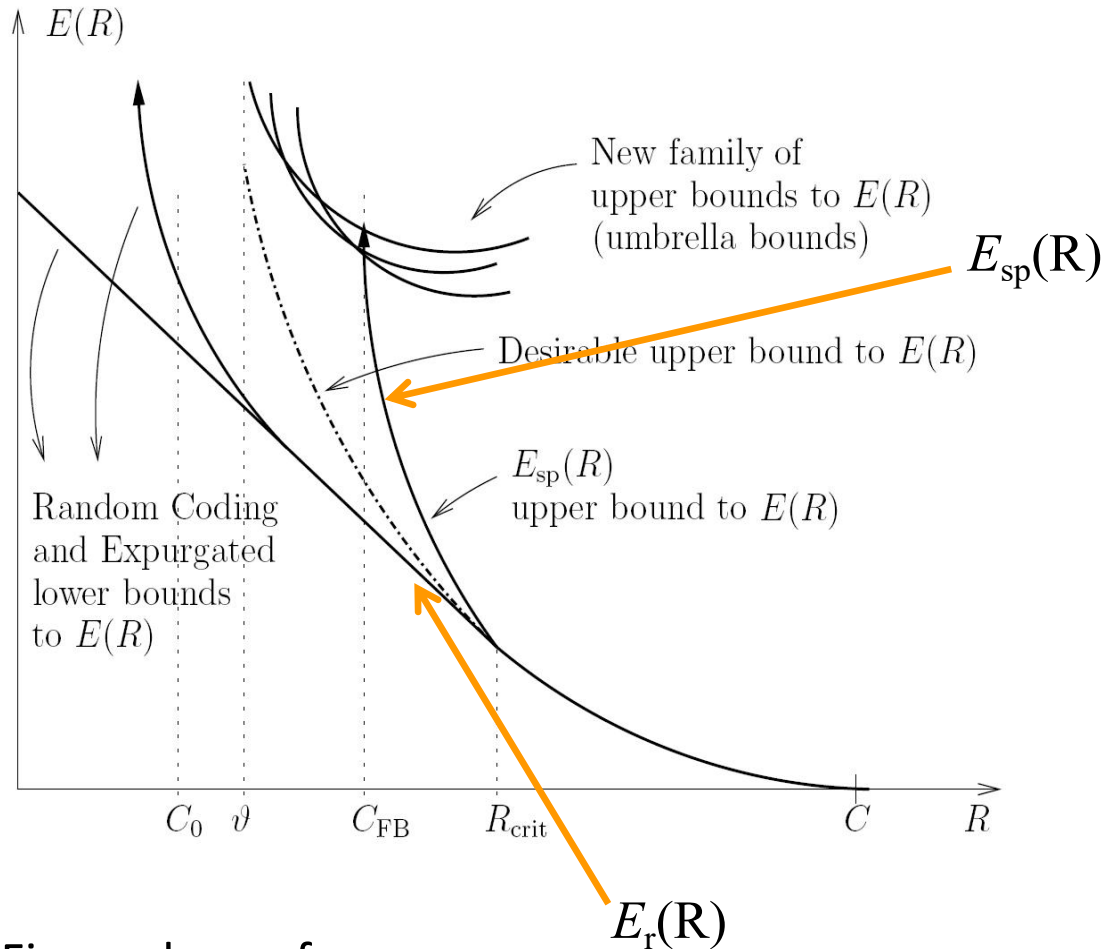


Figure drawn from:
 Marco Dalai, IEEE Trans. Inf. Theory, 2013.

Random coding lower bound
 [Fano'61, Gallager'65]:

$$E_r(R) = \max_{0 \leq \rho \leq 1} [E_0(\rho) - \rho R]$$

Sphere packing upper bound
 [Shannon-Gallager-Berlekamp'67]:

$$E_{sp}(R) = \sup_{\rho \geq 0} [E_0(\rho) - \rho R]$$

Where

$$E_0(\rho) = \max_P -\log \sum_y \left(\sum_x P(x) W_x(y)^{1/(1+\rho)} \right)^{1+\rho}$$

Smoothed max-relative entropy:

$$D_{\max}^{\epsilon}(\rho\|\sigma) := \inf_{\tilde{\rho} \in S_{\leq}(\mathcal{H}) : P(\rho, \tilde{\rho}) \leq \epsilon} D_{\max}(\tilde{\rho}\|\sigma).$$

Renner, Ph. D thesis, 2005.

Datta, IEEE Trans. Inf. Theory, 2009.

Its inverse function (smoothing quantity):

$$\epsilon(\rho\|\sigma, r) := \inf\{\epsilon : D_{\max}^{\epsilon}(\rho\|\sigma) \leq r\} = \inf\{P(\rho, \tilde{\rho}) : \tilde{\rho} \leq 2^r \sigma \text{ and } \tilde{\rho} \in S_{\leq}(\mathcal{H})\}$$

The meanings of the smoothed max-relative entropy :

- ◆ A basic tool in one-shot information theory (information spectrum relative entropy, hypothesis testing relative entropy, **smoothed max-relative entropy**).
- ◆ The ϵ -approximate distinguishability cost [Wang, Wilde, Physics Review Research, 2019, Wilde, arxiv:2202.12433].

Reliability function in smoothing the max-relative entropy

The derivation for the upper bound of $\epsilon(\rho\|\sigma, r)$

Construct a subnormalized state $\tilde{\rho} := Q\rho Q$, where $Q := \{\mathcal{E}_\sigma(\rho) \leq \frac{1}{v(\sigma)} 2^r \sigma\}$

$v(\sigma)$ denotes the number of different eigenvalues of σ

$$\mathcal{E}_\sigma(\rho) = \sum_{i=1}^{v(\sigma)} \Pi_i \rho \Pi_i, \text{ where } \Pi_i \text{ is the projection onto the eigensubspace}$$

by pinching inequality

$$\rho \leq v(\sigma) \mathcal{E}_\sigma(\rho)$$

$$\tilde{\rho} \leq v(\sigma) Q \mathcal{E}_\sigma(\rho) Q \leq 2^r \sigma$$

$$\epsilon(\rho\|\sigma, r) \leq P(\rho, \tilde{\rho}) \leq \sqrt{2 \operatorname{Tr} \rho (\mathbb{1} - Q)}$$

Reliability function in smoothing the max-relative entropy

Let $p = \text{Tr } \rho(\mathbb{1} - Q)$ and $q = \text{Tr } \sigma(\mathbb{1} - Q)$, then for any $s \geq 0$, we have

$$\begin{aligned} P(\rho, \tilde{\rho}) &\leq \sqrt{2p^{1+s}p^{-s}} \leq \sqrt{2 \left(p^{1+s} \left(\frac{1}{v(\sigma)} 2^\lambda q \right)^{-s} \right)} \\ &\leq \sqrt{2 \left(p^{1+s} \left(\frac{1}{v(\sigma)} 2^\lambda q \right)^{-s} + (1-p)^{1+s} \left(\frac{1}{v(\sigma)} 2^\lambda (\text{Tr } \sigma - q) \right)^{-s} \right)} \\ &= \sqrt{2v(\sigma)^s 2^s \left(D_{1+s}((p, 1-p) \| (q, \text{Tr } \sigma - q)) - \lambda \right)} \\ &\leq \sqrt{2v(\sigma)^s 2^s \left(D_{1+s}(\rho \| \sigma) - \lambda \right)}, \end{aligned}$$

Reliability function in smoothing the max-relative entropy

The derivation for the lower bound of $\epsilon(\rho\|\sigma, r)$

Let ρ_n be any subnormalized state with $\rho_n \leq 2^{nr} \sigma^{\otimes n}$, $Q_n := \{\rho^{\otimes n} > 9 \cdot 2^{nr} \sigma^{\otimes n}\}$ and $p_n = \text{Tr} \rho^{\otimes n} Q_n$, $q_n = \text{Tr} \rho_n Q_n$

$$\begin{aligned} Q_n \rho^{\otimes n} Q_n &\geq 9 \cdot 2^{nr} Q_n \sigma^{\otimes n} Q_n \\ &\geq 9 Q_n \rho_n Q_n, \end{aligned}$$

$$p_n \geq 9q_n$$

by the monotonicity of the fidelity under quantum channels

$$\begin{aligned} F(\rho^{\otimes n}, \rho_n) &\leq F((p_n, 1 - p_n), (q_n, \text{Tr} \rho_n - q_n)) \\ &\leq \sqrt{p_n} \sqrt{q_n} + \sqrt{1 - p_n} \\ &\leq \frac{p_n}{3} + \sqrt{1 - p_n}, \end{aligned}$$

Reliability function in smoothing the max-relative entropy

$$\begin{aligned} F(\rho^{\otimes n}, \rho_n) &\leq F((p_n, 1 - p_n), (q_n, \text{Tr } \rho_n - q_n)) \\ &\leq \sqrt{p_n} \sqrt{q_n} + \sqrt{1 - p_n} \\ &\leq \frac{p_n}{3} + \sqrt{1 - p_n}, \end{aligned}$$

$$\begin{aligned} P(\rho^{\otimes n}, \rho_n) &= \sqrt{1 - F^2(\rho^{\otimes n}, \rho_n)} \\ &\geq \sqrt{1 - \left(\frac{p_n}{3} + \sqrt{1 - p_n}\right)^2} \\ &= \sqrt{-\frac{p_n^2}{9} + p_n - \frac{2p_n}{3}\sqrt{1 - p_n}} \\ &\geq \sqrt{p_n} \sqrt{-\frac{p_n}{9} + 1 - \frac{2}{3}} \\ &= \sqrt{p_n} \sqrt{\frac{1}{3} - \frac{p_n}{9}}. \end{aligned}$$

$$\epsilon(\rho^{\otimes n} \| \sigma^{\otimes n}, nr) \geq \sqrt{p_n} \sqrt{\frac{1}{3} - \frac{p_n}{9}}.$$

Reliability function in smoothing the max-relative entropy

For any $s \geq 0$, we have

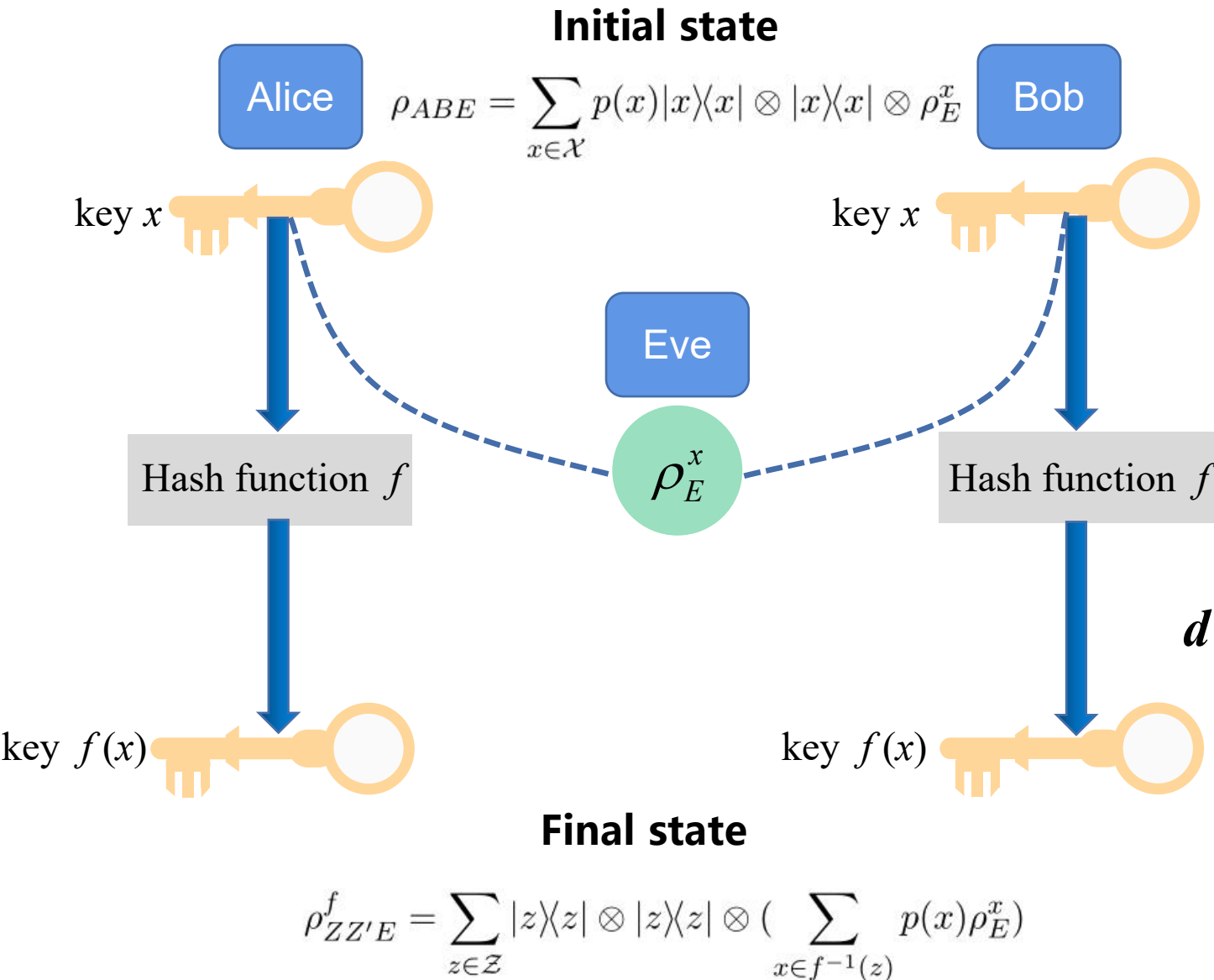
$$\sqrt{p_n} \sqrt{\frac{1}{3} - \frac{p_n}{9}} \leq \epsilon(\rho^{\otimes n} \| \sigma^{\otimes n}, nr) \leq \sqrt{2v(\sigma^{\otimes n})^s 2^{ns(D_{1+s}(\rho \| \sigma) - r)}}$$

Main result 1:

Theorem 1 For quantum states ρ, σ and $r \in \mathbb{R}$, we have

$$\lim_{n \rightarrow +\infty} \frac{-1}{n} \epsilon(\rho^{\otimes n} \| \sigma^{\otimes n}, nr) = \frac{1}{2} \sup_{s \geq 0} \{s(r - D_{1+s}(\rho \| \sigma))\}.$$

Reliability function for privacy amplification



Devetak, Winter, P. Roy. Soc. A, 2005

The performance of the scheme

$$d\left(\rho_{ZE}^f, \frac{\mathbb{1}_Z}{|\mathcal{Z}|} \otimes \rho_E\right)$$

d is a security measure on the set of states

- d {
- trace norm distance
 - purified distance
 - Umegaki relative entropy
 - ⋮

Reliability function for privacy amplification

Definition 2 For a classical-quantum state ρ_{XE} and a key rate $0 < R < H(X|E)_\rho$, the reliability function $E(R)$ under distance d is defined as

$$E(R) := - \lim_{n \rightarrow \infty} \frac{1}{n} \log \min_{f_n} d(\rho_{Z_n E^n}^{f_n}, \frac{\mathbb{1}_{Z_n}}{|Z_n|} \otimes \rho_E^{\otimes n}),$$

where f_n runs over all hash function from $\mathcal{X}^{\times n} \rightarrow Z_n = \{1, \dots, 2^{nR}\}$.

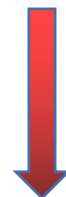
Reliability function for privacy amplification

The derivation for the upper bound of the reliability function

For any hash function $f : \mathcal{X} \rightarrow \mathcal{Z}$, we have

$$H_{\min}^{\epsilon}(X|E)_{\rho} \geq H_{\min}^{\epsilon}(Z|E)_{\rho^f},$$

where $H_{\min}^{\epsilon}(X|E)_{\rho} = -D_{\max}^{\epsilon}(\rho_{XE} \| \mathbb{1}_X \otimes \rho_E)$.



For any hash function $f : \mathcal{X} \rightarrow \mathcal{Z}$ and $\lambda \in \mathbb{R}$, we have

$$\epsilon(\rho_{ZE}^f \| \mathbb{1}_Z \otimes \rho_E, \lambda) \geq \epsilon(\rho_{XE} \| \mathbb{1}_X \otimes \rho_E, \lambda).$$

Reliability function for privacy amplification

$$P(\rho_{ZE}^f, \frac{\mathbb{1}_Z}{|\mathcal{Z}|} \otimes \rho_E) \geq \epsilon(\rho_{ZE}^f \| \mathbb{1}_Z \otimes \rho_E, -\log |\mathcal{Z}|) \geq \epsilon(\rho_{XE} \| \mathbb{1}_X \otimes \rho_E, -\log |\mathcal{Z}|)$$

$$\min_{f_n} P(\rho_{Z_n E^n}^{f_n}, \frac{\mathbb{1}_{Z_n}}{|\mathcal{Z}_n|} \otimes \rho_E^{\otimes n}) \geq \epsilon(\rho_{XE}^{\otimes n} \| \mathbb{1}_X^{\otimes n} \otimes \rho_E^{\otimes n}, -nR)$$

Main result 2

Theorem 2 Let ρ_{XE} be a classical-quantum state, $0 < R < H(X|E)_\rho$. We have

$$\lim_{n \rightarrow +\infty} \frac{-1}{n} \log \min_{f_n} P(\rho_{Z_n E^n}^{f_n}, \frac{\mathbb{1}_{Z_n}}{|\mathcal{Z}_n|} \otimes \rho_E^{\otimes n}) \leq \frac{1}{2} \max_{t \geq 0} t(H_{1+t}(X|E)_\rho - R).$$

Error exponent for randomness extraction against quantum side information

Our upper bound:

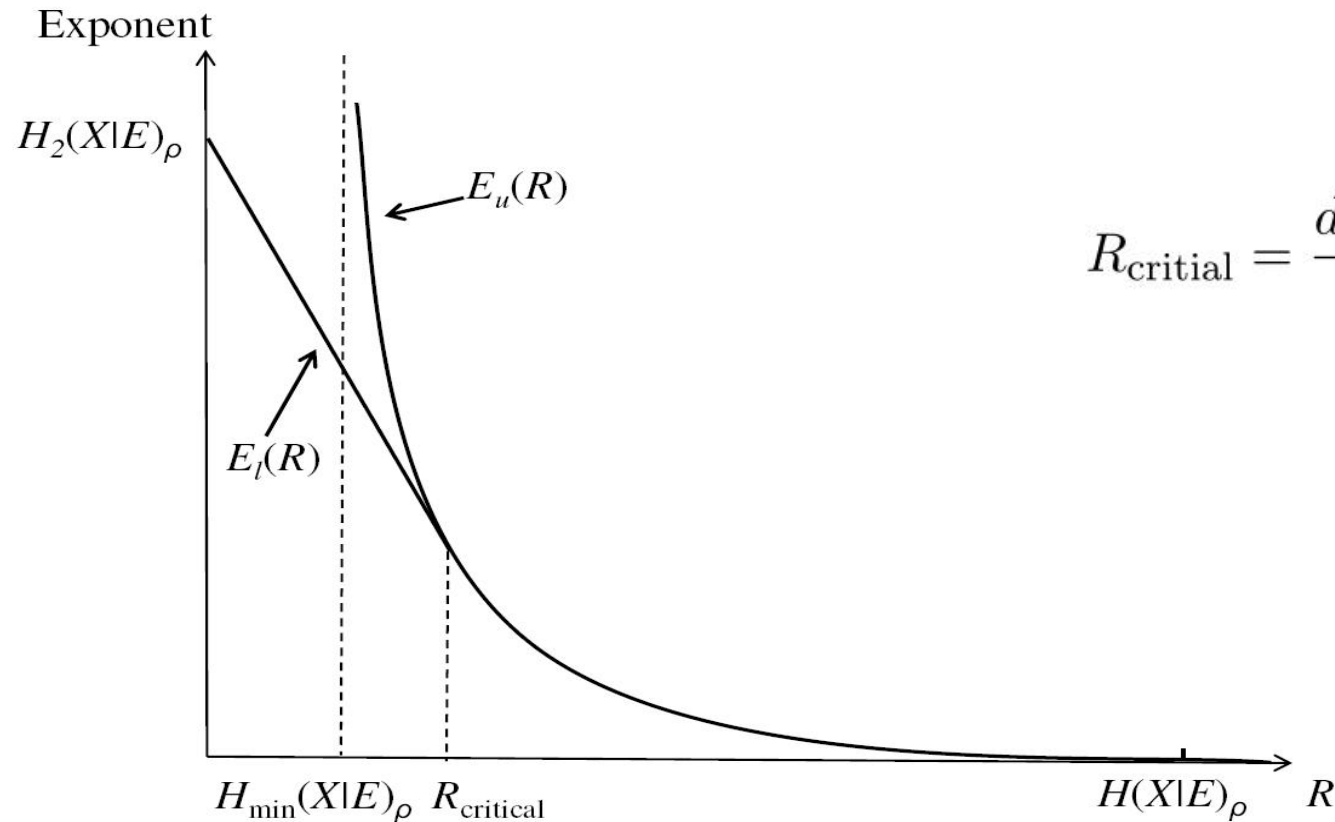
Theorem 2 Let ρ_{XE} be a classical-quantum state, $0 < R < H(X|E)_\rho$. We have

$$\lim_{n \rightarrow +\infty} \frac{-1}{n} \log \min_{f_n} P(\rho_{Z_n E^n}^{f_n}, \frac{\mathbb{1}_{Z_n}}{|Z_n|} \otimes \rho_E^{\otimes n}) \leq \frac{1}{2} \max_{t \geq 0} t(H_{1+t}(X|E)_\rho - R).$$

Corresponding lower bound derived from [Hayashi, CMP, 2015]: $P(\rho, \sigma) \leq \sqrt{(\ln 2)D(\rho||\sigma)}$

$$\lim_{n \rightarrow +\infty} \frac{-1}{n} \log \min_{f_n} P(\rho_{Z_n E^n}^{f_n}, \frac{\mathbb{1}_{Z_n}}{|Z_n|} \otimes \rho_E^{\otimes n}) \geq \frac{1}{2} \max_{0 \leq t \leq 1} t(H_{1+t}(X|E)_\rho - R).$$

Error exponent for randomness extraction against quantum side information



$$E_u(R) := \frac{1}{2} \max_{t \geq 0} \{t(H_{1+t}(X|E)_\rho - R)\} \quad (\text{Our upper bound})$$

$$E_l(R) := \frac{1}{2} \max_{0 \leq t \leq 1} \{t(H_{1+t}(X|E)_\rho - R)\} \quad (\text{lower bound derived from [Hayashi, CMP, 2015]})$$

Summary and open questions

- ◆ We derive the **reliability function** in smoothing the max-relative entropy and of privacy amplification.
- ◆ We provide new type of operational meanings for the **sandwiched Rényi divergence**, in characterizing how fast the performance of quantum tasks approach **the perfect**.
- ◆ The reliability function in smoothing the max-relative entropy has applications in **quantum information decoupling** and **quantum channel simulation** [K. Li, Y. Yao, arxiv: 2111.06343, 2112.04475].
- **Question 1:** reliability function for privacy amplification below the critical rate?
- **Question 2:** reliability function for more quantum information tasks? (might discover new quantum Rényi relative entropy.)

Thank you !